

176#9



Docket No. 97/026

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Brian J. Doerksen

Serial No. 09/872,390

Filed: June 1, 2001

For: Alternate Coke Furnace Tube Arrangement

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Group Art Unit: 1764

Examiner: Unknown

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TC 1700

Assistant Commissioner of Patents  
Washington, DC 20231

CERTIFICATE OF MAILING	
37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U. S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.	
NOV. 15, 2002	Patricia Freasier
Date	Patricia Freasier

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

1.   X   Preliminary Statements

In accordance with 37 CFR §§ 1.97 and 1.98, Applicant(s) submit herewith patents, publications, or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) the information is material to the examination of this application; (iii) additional information material to the examination of this application does not exist; (iv) the information, protocols, results and the like reported by third parties are accurate or enabling; or (v) the information necessarily constitutes prior art to the subject invention.

2.   X   Identification of Time of Filing

This Information Disclosure Statement

- a. \_\_\_\_\_ is filed within three months of the filing date of the application.  
b.   X   is filed before the mailing date of a first Office Action on the merits.

c. \_\_\_\_\_ is filed after the period specified in 2(a) or 2(b) above, but before the mailing date of a final action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311. This statement includes a certification under 37 CFR § 1.97(e) or the fee set forth in 37 CFR § 1.17(p).

d. \_\_\_\_\_ is filed after the mailing date of a final action or Notice of Allowance but before payment of the issue fee. This statement includes (i) a certification under 37 CFR § 1.97(e), (ii) a petition requesting consideration of this information disclosure statement, and (iii) the petition fee under 37 CFR § 1.17(i)(1).

3.   X   Certification under 37 CFR § 1.97(e)

The undersigned attorney certifies

a.   X   that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or

b. \_\_\_\_\_ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the statement.

4.   X   Newly Cited Information

A legible copy of the patents, publications or other information cited on the attached form PTO 1449 is enclosed, except that no copy of a pending U.S. application is enclosed.

5. \_\_\_\_\_ Previously Cited Information

No copy of the patents, publications or other information cited on the attached form PTO-1449 is enclosed because it has been previously cited by or submitted to the Office in a prior application which is relied upon for an earlier filing date under 35 USC § 120.

Prior application is Serial No. \_\_\_\_\_, filed on \_\_\_\_\_,

\_\_\_\_\_  
(Inventor)

\_\_\_\_\_  
(Inventor)

entitled \_\_\_\_\_.

6.   X   Concise Explanation

- a.   X   The references cited on the attached form PTO 1449 were cited in an International Search Report issued in connection with the PCT application that corresponds to the above referenced application. A copy of the International Search Report is included.

Documents cited above which are not in the English language

- b.          have been explained in the specification.
- c.          have an abstract (or other concise explanation) in English enclosed or if readily available a translation into English of the document is enclosed.

7.   X   Form PTO-1449 is enclosed.

8.          A petition requesting consideration of this information disclosure statement is enclosed.

9.          Fees (as of 11/5/98)

- a.          late filing (37 CFR § 1.17(p)) Fee: \$180.00
- b.          Petition (37 CFR § 1.17(i)(1)) Fee: \$130.00

Total Fee Due \$                     

10.          Method of Payment of Fees: The commissioner is hereby authorized to charge the indicated fees and credit any overpayment to Deposit Account No. 03-2975. In addition, authorization is hereby given to charge any additional fees required in connection with this Information Disclosure Statement under 37 C.F.R. 1.16 and 1.17.

Respectfully submitted,

Date: November 15, 2002

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